

RECEIVED
CENTRAL FAX CENTER

DEC 26 2006

Atty Docket No. 022205-000110US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Examiner [unknown]

Group Art Unit 1615

**OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
EXAMINER [unknown]**

CERTIFICATION OF FACSIMILE TRANSMISSION

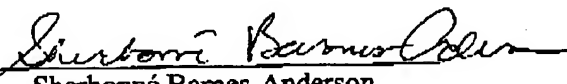
I hereby certify that the following documents in re Application of SINGH and SINGH, Application No. 10/763,641, filed January 22, 2004 for METHODS AND COMPOSITIONS FOR DELIVERING 5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. PLEASE MAKE OF RECORD AS SOON AS POSSIBLE. Copy of twice-filed Revocation And New Power Of Attorney And Change Of Correspondence Address, and Statement Under 3.73(b), filed in the U.S. Patent and Trademark Office on August 24, 2004 and again on December 1, 2006.

Number of pages being transmitted, including this page: 7

Dated: December 26, 2006


Sherbonné Barnes-Anderson,
Prosecution Paralegal Supervisor

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY
RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, CA 94111-3834
Telephone: 925-472-5000
Fax: 925-472-8895
0254

60945740 v1

DEC 26 2006

Atty Docket No. 022205-000110US

PTO FAX NO.: 1-571-273-8300

ATTENTION: Examiner [unknown]

Group Art Unit 1615

OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
EXAMINER [unknown]



CERTIFICATION OF FACSIMILE TRANSMISSION

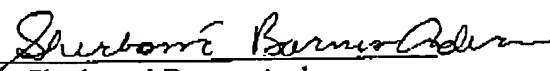
I hereby certify that the following documents in re Application of SINGH and SINGH, Application No. 10/763,641, filed January 22, 2004 for METHODS AND COMPOSITIONS FOR DELIVERING 5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Copy of previously-filed Revocation And New Power of Attorney And Change of Correspondence Address, and Statement Under 3.73(b), filed in the U.S. Patent and Trademark Office Mailroom on May August 24, 2004. PLEASE MAKE OF RECORD AS SOON AS POSSIBLE.

Number of pages being transmitted, including this page: 6

Dated: December 1, 2006


Sherbonné Barnes-Anderson,
Prosecution Paralegal Supervisor

**PLEASE CONFIRM RECEIPT OF THIS PAPER BY
RETURN FACSIMILE AT (415) 576-0300**

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, CA 94111-3834
Telephone: 925-472-5000
Fax: 925-472-8895
0254

COPY

60929160 v1

PTO/SB/82 (09-03)

**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	10/763,641
Filing Date	January 22, 2004
First Named Inventor	Singh, Nikhilesh N.
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	022205-000110US

I hereby revoke all previous powers of attorney given in the above-identified application:

☒ A Power of Attorney is submitted herewith.

OR

☐ I hereby appoint the practitioners associated with the Customer Number:

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

20350

OR

☐ Firm or
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name Glenn A. Oclassen

Signature

Date

5-10-04

Telephone

415-945-5420

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of forms are submitted.

80164787 v1

COPY

PTO/SB/81 (09-03)

POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM	Application Number	10/763,641
	Filing Date	January 22, 2004
	First Named Inventor	Nikhillesh N. Singh
	Title	METHODS AND COMPOSITIONS FOR DELIVERING 5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA
	Art Unit	
	Examiner Name	
	Attorney Docket Number	022205-000110US

I hereby appoint:

☒ Practitioners associated with the Customer Number **20350**

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☐ Firm or Individual Name

Address

Address

City State ZIP

Country

Telephone Fax

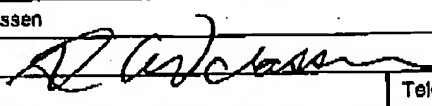
I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name Glenn A. Oclassen

Signature 

Date 8-10-04 Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of forms are submitted.

60188384 v1

PTO/SB/08 (08-03)

Attorney Docket No. 022205-000110US

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Nikhilesh N. SINGH and Natasha N. SINGHApplication No./Patent No.: 10/763,641Filed/Issue Date: January 22, 2004Entitled: METHODS AND COMPOSITIONS FOR DELIVERING 5-HT₃ ANTAGONISTS ACROSS THE ORAL MUCOSATransOral Pharmaceuticals, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8-10-04
Date415-945-5420
Telephone numberGlenn A. Oclassen
Typed or printed name
[Signature]
SignatureCEO and President
Title

60164769 v1

COPY

Attorney Docket No.: 022205-000110US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Nikhilesh N. Singh and Natasha N. Singh both of 1220 Shelter Bay Avenue, Mill Valley, CA 94941 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHODS AND COMPOSITIONS FOR DELIVERING
5-HT3 ANTAGONISTS ACROSS THE ORAL MUCOSA

Date(s) of execution of Declaration: 08/08/04 (both)

Filing Date: January 22, 2004

Application No.: 10/763,641

WHEREAS, TransOral Pharmaceuticals, Inc., a corporation of the state of Delaware, located at 300 Tamar Plaza, Suite 220, Corte Madera, CA 94925, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.


NOT FOR RECORDATION

Assignment

Attorney Docket No.: 022205-000110US

Page 2

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 8/9/04
Nikhilesh N. Singh
WITNESS SIGNATUREThomas Soloway
WITNESS PRINTED NAMEDated: 8/5/04
Natasha N. Singh
WITNESS SIGNATUREThomas Soloway
WITNESS PRINTED NAME

60164801 v1